



Notes and instructions on the WMAccess contract

Necessary information:

- On page 1, we need the name of the contractor, as well as the legal address (not post box) including post code and city/town.
- On page 8 under §10 price model and scope of use: Please enter the desired price model.
- Also on page 8, we need to know whether you want to use the optional additional modules wmGuide (display of functional field description) and/or wmPos (for portfolio-related queries). Please tick off the relevant option.
- In addition please fill out the required information for the monthly invoices on page 8 and 9.
- Please note that digital signatures are now legally recognized and can be issued, for example, through DocuSign. If digital signatures are not an option, then please send us two filled out and signed copies of the contract by regular mail to the following address:

CPB Software (Germany) GmbH
Im Bruch 3
63897 Miltenberg
Germany

The processing time for contracts sent by regular mail is 1 to 3 working days.

Please send us the filled out and digitally signed contract to the following email address:

service@wmaccess.com

Next steps:

As soon as we have received a fully completed and signed contract (including name and email address of our contact person in your company), your credentials will be sent to you directly by email. Additionally, we will provide you with a countersigned copy of the contract for your records, delivered through the delivery method of your choice.

We are usually able to provide you with the credentials by email within 30 to 120 minutes.

Contract

Between

[Name of the Client]

[Address: Street and Number]

[Address: Post Code, Town & Country]

(referred to hereafter as the "Customer")

and

**CPB Software (Germany) GmbH
Im Bruch 3
D-63897 Miltenberg**

(referred to hereafter as the "Service Provider")

agree to the following:

Promotion code:

Please enter the code here in order to make use of the relevant promotion benefit.

Conditions:

- promotion codes cannot be combined with each other
- only one promotion code per customer

§ 1

Preamble

The purpose of the contract entails the provision of data pertaining to financial securities' classes, through the platform entitled WMACCESS, via the Internet. Master static data and maturity data relating to financial securities, are contained in the said universe of financial securities' classes. The data originates from the official National Numbering Agency of Germany, called Wertpapier-Mitteilungen [WM Datenservice]. WMACCESS is a product suite, which includes the following three components; wmView, wmProfile and wmGuide.

§ 2

Scope and type of services to be delivered by the service provider

The service provider is hereby contractually bound to provide the customer, data originating from Wertpapier-Mitteilungen, and to allow retrieval and access to an inherent, specifically stipulated & registered, closed end-user group of the customer, through this contract. The data originates from the official National Numbering Agency of Germany, called Wertpapier-Mitteilungen [WM Datenservice]. Retrievable historic corporate event data related information, goes back up to 10 years.

Specifically, the following data will be made accessible and retrievable:

Classification of work categories/areas

B	–	Coupon renewal
E	–	Income data
G	–	Securities static data
H	–	Annual General Meetings (AGMs)
I	–	Investment fund prices (including inherent unrealized profits or losses thereof)
K	–	Capital changes
M	–	Issuer data
R	–	Investment and risk management
U	–	Conversions/exchanges
V	–	Redemptions/Call-ins
X	–	Trading rules and regulations

Active field-IDs will appear in each of the work categories/areas, which are populated by data originating from the German National Numbering Agency; WM Datenservice. The data is updated on every Exchange working day. The most current updates are available from 06:00 a.m. onwards on every Exchange working day. The service provider cannot assume any responsibility whatsoever, for any delayed or incomplete data originating from the data provider - the German National Numbering Agency; WM Datenservice. Similarly, the service provider cannot assume any responsibility whatsoever, with respect to external telecommunication connectivity and data transmission guarantees. WMACCESS offers a variety of customizable searches. Differing selection criteria can be input, for researching data in each of the work categories/areas. After selecting the high level parsed results, specific and detailed underlying relevant data can be retrieved. wmProfile allows partial viewing of the WM data content. Based on the specific criteria selected by a user, a list of data fields from one or more work categories/areas is displayed. The compilation of the data fields is based on specific functional criteria. By utilizing the application entitled "User Profile", end users can access company specific profiles. The display of the data fields can be configured individually. The relevant explanations to the data fields are available through wmGuide. wmGuide delivers a verbal description of the data fields, and a licensed version of wmGuide includes all table specifications.

§ 3

Payment

Clause (1)

The payment for the data retrieval is based on the relevant price tables. The pricing overviews appear in Annexes 1, 2, and 3, which are a part of this contract. The customer chooses one of the offered pricing models. A change in the pricing model type is possible, based on a written notice two weeks before the end of any given month.

Calendar month-related basic fees are always due in full, regardless of the beginning and end of the contractual agreement.

Clause (2)

Should the customer choose to utilize wmGuide in pricing model #s 1 or 2, an invoice bearing the annual utility fee will be transmitted by the service provider. The duration period for utilizing wmGuide always encompasses one calendar year, and the utilization fee is due in January of the year in question. Should the customer terminate the contract later than six weeks before the end of a calendar year, the annual subscription amount for the following year automatically becomes due. Should the customer terminate the contract at any time during any given year, but more than six weeks before the end of the year in question, the subscription amount relating to the remaining day count up to and including the end of the said year, will not be reimbursed.

Clause (3)

The service provider has the right to adjust and conform the prices to market changes and requirements. Should the service provider see it fit to do so, the customer will be informed in writing at least four weeks in advance. Should the customer not contradict the same in writing, within four weeks of receipt of the pricing change declaration, the service provider will consider this as an acceptance of the pricing change, on the part of the customer.

Clause (4)

The number of search queries included in pricing model # 3 are valid for a duration of one calendar month. The invoice related calculation of the above mentioned search queries begins at that point in time, when the first of such a query is done, and ends at the end of the same calendar month. The remainder of the number of "unused" search queries included in the data packages entitled 'on demand' are a part of pricing model # 3, and expire at the end of the calendar month, in which the first of such a search query is done. Search queries which go beyond the number included in the 'on demand' data package, will be invoiced on an "additional individual search" basis. Should the number of "additional individual searches" exceed the number of "included" search queries of the subscribed category, the next larger category for search queries automatically gets selected and activated.

§ 4

Invoicing

The customer receives a monthly statement displaying the total amount of retrieved data. Additionally, the customer receives an invoice indicating the total amount payable to the service provider. The total invoice amount is immediately due without any deductions. Any banking fees incurred in connection with the settlement of the accounts or any taxes and duties incurred in connection with the service provided are charged to and have to be paid by the recipient. The service provider reserves the right to lock the user accounts until settlement of any outstanding invoice or fee amounts in the event of a delay in payment following the first unsuccessful payment reminder.

§ 5

Contract Duration and Right of Termination

§ 5.1

Duration and Termination

The contract is open ended, and has no expiry date. The contract can be terminated with a notice period of one month to the end of every quarter.

§ 5.2

Special Right of Termination

In the event of price changes, the customer has an extraordinary right to terminate the contract, as per the provision appearing in §3, clause (3).

§ 6

Technical Prerequisites

WMACCESS utilization assumes that the customer has access to the Internet. The customer is liable for the installation and support of the relevant Internet access. A modern browser such as Google Chrome, Mozilla Firefox, or Microsoft Internet Explorer 11+ with JavaScript support, session cookies and XMLHttpRequest is required. The service provider recommends a modern workstation PC with at least 4 GB of RAM and an Intel Core i-series or similar processor.

§ 7

Warranty and Liability

A guarantee for timely data provision (§ 2 scope and type of services) cannot be assumed in the following cases:

- Failure of the service provider's Internet connection
- Failure of the line connection from the customer to the service provider
- The FTP server of WM cannot be reached
- The login on the FTP server of WM is not possible
- The data file received by WM is corrupt
- The structure of the data received from WM is incorrect

The service provider also assumes no quality guarantee (assurance of a certain quality of the WM data) for the data supplied by the data provider (WM).

For the rest, any liability on the part of the service provider is excluded, unless the damage is based on intent or gross negligence or on a violation of the essential material (contractual) obligations (in German civil law so-called "Kardinalpflichten").

§ 8

Professional Confidentiality

The service provider pledges to exercise confidentiality in dealing with customer related data. In particular, such data will not be made accessible to third parties.

§ 9

Other Agreements

The invalidity of singular paragraphs and/or clauses of this contract, do not invalidate the contract in its entirety. Invalid components of the contract will be replaced by valid ones, without any disadvantages to any of the parties concerned.

Any changes or additions to the contract have to necessarily be in writing and must be explicitly demarcated as such. Handwritten changes to the contract text require the counter-signature (= approval) of this change by the other contracting party to be effective. The place of jurisdiction for both parties is Frankfurt am Main.

§ 10

Pricing Model and Utility Scope

The customer opts to be billed based on **Pricing Model**:

- 1 2 3

The customer chooses to utilize **wmGuide** to query functional field descriptions and table attributes:

- Yes No

The customer chooses to utilize **wmPos** for portfolio-related queries:

- Yes No

The customer wants the **invoicing** to the following email recipients:

If different to the email address of the contact person (see below)

The customer wants further information on the invoice (for example cost centre, purchase-order number, internal reference):

Country of the beneficiary: _____

Your company's V.A.T. Number: _____

Required for the tax-free exchange of services within the European Union

Tick appropriate boxes.

If no price model is stated, price model 3 is automatically applied. Missing entries on the use of wmGuide or wmPos is taken as a "no". In the absence of information on the invoicing, the invoices will be delivered to the contact person mentioned below.



Location, Date

Miltenberg,

Location, Date

Signature of Customer

Signature of Service Provider

Please note that digital signatures are now legally recognized and can be issued, for example, through DocuSign.

Customer's contact person [name & first name]

Customer's contact person [email address]

Pricing Model # 1

Basic Fees:

Delivery scope	Payment frequencies	Comments	Prices [EUR]
wmView	Monthly	Includes 5 user accounts	285.00
User profile	monthly	1 user-specific query/retrieval-profile (1 segment) included	0.00
wmProfile	monthly	-	0.00
Work category/area Product GAT	monthly	-	0.00
Work category/area Product A&R	monthly	-	0.00
Work category/area Product IFP	monthly	-	0.00
Work category/area Product OHC	monthly	-	0.00
Work category/area Product DEV	monthly	-	0.00
Work category/area Product IFK	monthly	-	0.00
Work category/area Product BBP	monthly	-	0.00
wmPos	monthly	optional	125.00
Cost per additional user account	monthly	optional	10.00
wmGuide	annually	optional	1,000.00

Administrative Fees:

Delivery scope	Payment frequencies	Comments	Prices [EUR]
Creation of additional user accounts or making changes in user accounts	One time	Per account	10.00
Creation of additional user profiles	One time	Dependent on the number of affected segments	On a case by case basis
Cost per change in user profiles	One time	Effort based, but a maximum of	50.00

Pricing Model # 1

Search Query Fees wmView, wmProfile, user profiles:

Categories	Query types	Characteristics	Prices [EUR]
T1	Search query using the "standard" mode	Query result listing	0.29
T2	Search query using the "expert" mode, or user profile (1 AG)	Query result listing	0.35
T3	Selection of an item from the search query listing, a detailed content view without the search query listing, or a detailed content view through the user profile (1 AG) applicable to the following products - - GAT (Static master and maturity data) - A&R (Investment and risk management) - IFP (Investment fund prices) - IFK (Investment fund characteristics) - OHC (Order, Trading, Clearing)	Detailed content view	0.75
T4	Explicit selection of a German security ID [Wertpapier-Kennnummer (WKN)] or its [International Security Identification Number (ISIN)] and a field-ID / search query of the field history of a field-ID	Singular field display / field history > 1 item listing	0.25
T5	Selection of an item from the item listing, detailed content view without an item listing, or a detailed content view through the user profile (1 AG) applicable to the following product - - DEV (Derivatives)	Detailed content view	0.40
T6	Selection of an item from the item listing, detailed content view without an item listing, or a detailed content view through the user profile (1 AG) applicable to the following product - - BBP (Calculated bond prices) - MiFID II - Reference data - MiFID II - Target market	Detailed content view	1.20

Search Query Fees wmGuide:

Categories	Query types	Characteristics	Prices [EUR]
G1	Tab fields: Retrieval of a field identification code	Display of field description	0.15
G2	Tab work categories/areas: Retrieval of a work category/area	Work category/area with all fields	0.15
G3	Tab introduction: Retrieval of "getting started basics"	Display of "getting started basics" including paging forward of the content	0.20
G4	Tab table specifications and fields: Retrieval of a table	Display of table specifications (value including description)	0.20
G5	Tab fields: Search query through field name	Item listing of the searched text's string	0.15
G6	Tab fields: Search query through field identification	Item listing of the searched field's string	0.15

Valid as of January 1, 2024

[All prices are displayed as net amounts, and the statutory V.A.T. amounts need to be calculated in addition and paid accordingly, to the service provider.]

Pricing Model # 2

Basic Fees:

Delivery scope	Payment frequencies	Comments	Prices [EUR]
wmView	Monthly	Includes 3 user accounts	130.00
User profile	monthly	1 user specific search query / display profile (1AG) included	0.00
wmProfile	monthly	-	0.00
Work category/area Product GAT	monthly	-	0.00
Work category/area Product A&R	monthly	-	0.00
Work category/area Product IFP	monthly	-	0.00
Work category/area Product OHC	monthly	-	0.00
Work category/area Product DEV	monthly	-	0.00
Work category/area Product IFK	monthly	-	0.00
Work category/area Product BBP	monthly	-	0.00
wmPos	monthly	optional	50.00
Cost per additional user account	monthly	optional	10.00
wmGuide	annually	optional	1,000.00

Administrative Fees:

Delivery scope	Payment frequencies	Comments	Prices [EUR]
Creation of additional user accounts or making changes in user accounts	One time	Per account	10.00
Creation of additional user profiles	One time	Dependent on the number of affected segments	On a case by case basis
Cost per change in user profiles	One time	Effort based, but a maximum of	50.00

Pricing Model # 2

Search Query Fees wmView, wmProfile, user profiles:

Categories	Query types	Characteristics	Prices [EUR]
T1	Search query using the "standard" mode	Item listing	0.58
T2	Search query using the "expert" mode, or user profile (1 AG)	Item listing	0.70
T3	Selection of an item from the search query listing, a detailed content view without the search query listing, or a detailed content view through the user profile (1 AG) applicable to the following products - - GAT (Static master and maturity data) - A&R (Investment and risk management) - IFP (Investment fund prices) - IFK (Investment fund characteristics) - OHC (Order, Trading, Clearing)	Detailed content view	1.50
T4	Explicit selection of a German security ID [Wertpapier-Kennnummer (WKN)] or its [International Security Identification Number (ISIN)] and a field-ID / search query of the field history of a field-ID	Singular field display / field history > 1 item listing	0.50
T5	Selection of an item from the item listing, detailed content view without an item listing, or a detailed content view through the user profile (1 AG) applicable to the following product - DEV (Derivatives)	Detailed content view	0.80
T6	Selection of an item from the item listing, detailed content view without an item listing, or a detailed content view through the user profile (1 AG) applicable to the following product - BBP (Calculated bond prices) - MiFID II - Reference data - MiFID II - Target market	Detailed content view per displayed price	1.80

Search Query Fees wmGuide:

Categories	Query types	Characteristics	Prices [EUR]
G1	Tab fields: Retrieval of a field identification code	Display of field description	0.30
G2	Tab work categories/areas: Retrieval of a work category/area	Work category/area with all fields	0.30
G3	Tab introduction: Retrieval of "getting started basics"	Display of "getting started basics" including paging forward of the content	0.40
G4	Tab table specifications and fields: Retrieval of a table	Display of table specifications (value including description)	0.40
G5	Tab fields: Search query through field name	Item listing of the searched text's string	0.30
G6	Tab fields: Search query through field identification	Item listing of the searched field's string	0.30

Valid as of January 1, 2024

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Pricing model # 3 "on demand" Package

Basic "on demand" Packages:

Package Names	Payment frequencies	# of search queries from categories T1 – T6, included in the price	Prices [EUR]
Start-Package 20	Monthly on demand *	20	100.00
Upgrade-Package 1 [automatically from 50 search queries onwards]	Monthly on demand *	50	200.00
Upgrade-Package 2 [automatically from 100 search queries onwards]	Monthly on demand *	100	350.00
Upgrade-Package 3 [automatically from 250 search queries onwards]	Monthly on demand *	250	575.00

(*) "On demand": The invoicing of the relevant basic fee takes place only if at least one search query (of categories T1-T6 or G1-G6), in the running invoicing month (= calendar month) has taken place.

The price of every additional search query until the point of the number of searched queries as applicable in the next larger search query category is reached, is as follows

Package Names	Query categories	Comments	Prices [EUR]
Start-Package 20	T1 - T6	From 21 to 49 search queries	3.50 each
Upgrade-Package 1 [automatically from 50 search queries onwards]	T1 - T6	From 51 to 99 search queries	3.25 each
Upgrade-Package 2 [automatically from 100 search queries onwards]	T1 - T6	From 101 to 249 search queries	2.20 each
Upgrade-Package 3 [automatically from 250 search queries onwards]	T1 - T6	From 251 search queries onwards	2.15 each

Pricing Model # 3 – "on demand" Package

Categories	Query types	Characteristics
T1	Search query using the "standard" mode	Item listing
T2	Search query using the "expert" mode, or user profile (1 AG)	Item listing
T3	Selection of an item from the search query listing, a detailed content view without the search query listing, or a detailed content view through the user profile (1 AG) applicable to the following products - - GAT (Static master and maturity data) - A&R (Investment and risk management) - IFP (Investment fund prices) - IFK (Investment fund characteristics) - OHC (Order, Trading, Clearing)	Detailed content view
T4	Explicit selection of a German security ID [Wertpapier-Kennnummer (WKN)] or its [International Security Identification Number (ISIN)] and a field-ID / search query of the field history of a field-ID	Singular field display / field history > 1 item listing
T5	Selection of an item from the item listing, detailed content view without an item listing, or a detailed content view through the user profile (1 AG) applicable to the following product - DEV (Derivatives)	Detailed content view
T6	Selection of an item from the item listing, detailed content view without an item listing, or a detailed content view through the user profile (1 AG) applicable to the following product - BBP (Calculated bond prices) - MiFID II - Reference data - MiFID II - Target market	Detailed content view per displayed price

The basic "on demand" package includes the following components and profiles:

Delivery scope	Comments
wmView	Includes 2 user accounts (maximum)
User profile	1 user specific search query / display profile (1AG) included
wmProfile	-
Work category/area Product GAT	-
Work category/area Product A&R	-
Work category/area Product IFP	-
Work category/area Product OHC	-
Work category/area Product DEV	-
Work category/area Product IFK	-
Work category/area Product BBP	-

Pricing model # 3 – "on demand" Package

Optional: wmGuide "on demand" Package:

Package Names	Payment frequencies	# of queries from categories G1 - G6 included in price	Prices [EUR]
Start-Package Guide 20	Monthly on demand *	20	50.00

(*) **"On demand"**: The invoicing of the wmGuide Package takes place only if at least one wmGuide search query (of categories G1-G6), in the running invoicing month (= calendar month) has taken place.

The price of every additional wmGuide search query is as follows

Package Names	Query Categories	Comments	Prices [EUR]
Start-Package Guide 20	G1 - G6	From query # 21 onwards	5.00 each

Categories	Query types	Characteristics
G1	Tab fields: Retrieval of a field identification code	Display of field description
G2	Tab work categories/areas: Retrieval of a work category/area	Work category/area with all fields
G3	Tab introduction: Retrieval of "getting started basics"	Display of "getting started basics" including paging forward of the content
G4	Tab table specifications and fields: Retrieval of a table	Display of table specifications (value including description)
G5	Tab fields: Search query through field name	Item listing of the searched text's string
G6	Tab fields: Search query through field identification	Item listing of the searched field's string

Administrative Fees:

Delivery scope	Payment frequencies	Comments	Prices [EUR]
Set up fees	One time		10.00
Creation of additional user accounts or making changes in user accounts	One time	Per account	10.00
Creation of additional user profiles	One time	Dependent on the number of affected segments	On a case by case basis
Cost per change in user profiles	One time	Effort based, but a maximum of	50.00

Valid as of January 1, 2024

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